

# Capsule Networks

Suthee Chaidaroon

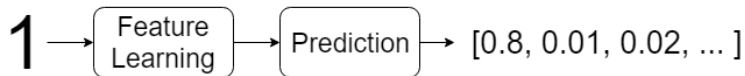
Santa Clara University  
California, USA

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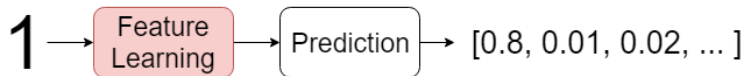
# Introduction

## Digit Classification Task



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# Feature Learning

- Use Feed-forward neural networks.

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- Use Feed-forward neural networks.
- Use Convolutional neural networks.

# Feature Learning

- Use Feed-forward neural networks.
- Use Convolutional neural networks.
- Use Capsule networks.

# Convolutional Neural Network

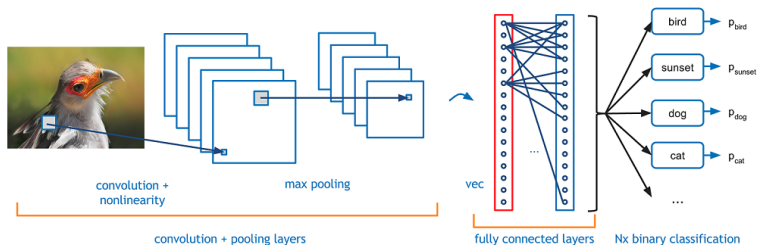
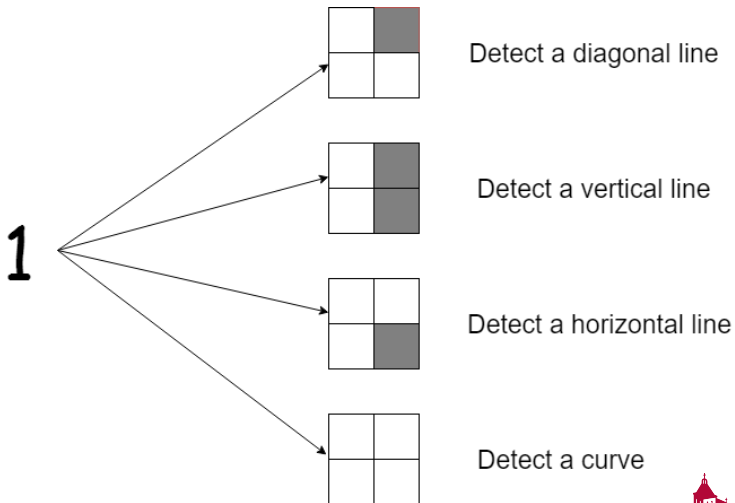


Figure: An example of a convolutional neural network<sup>1</sup>

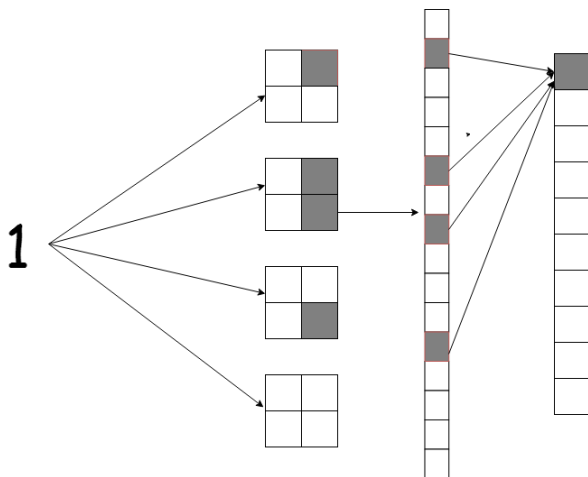
<sup>1</sup>Image credit: <https://qtmlresearch.com>

# Convolutional Layer



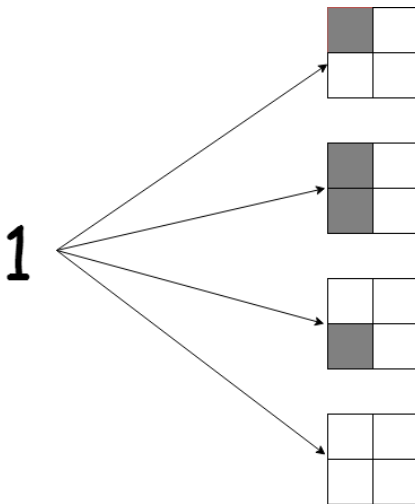


# Convolutional Layer



# Max Pooling Layer

What if digit 1 is shifted to the left?



Detect a diagonal line

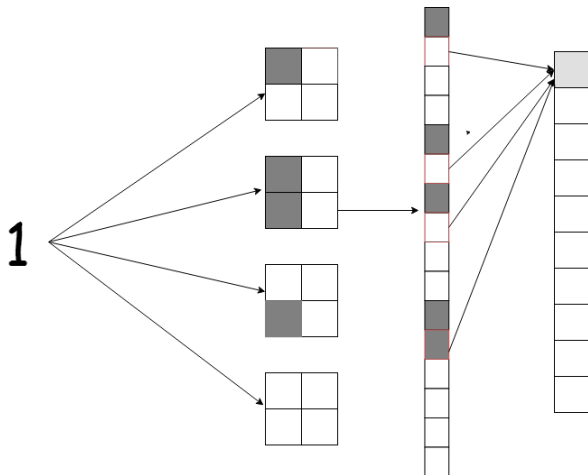
Detect a vertical line

Detect a horizontal line

Detect a curve

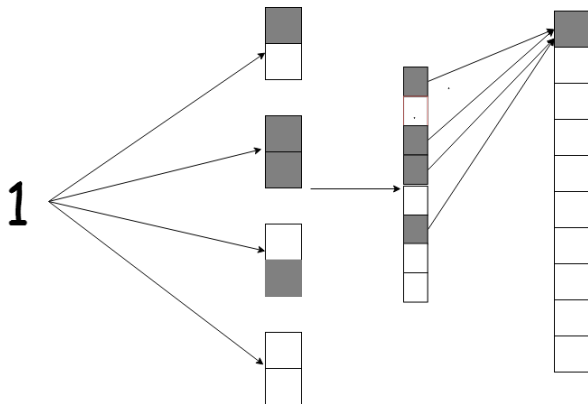
# Max Pooling Layer

What if digit 1 is shifted to the left?

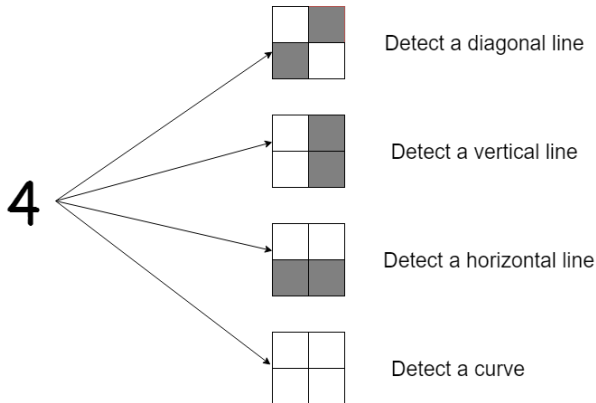


# Max Pooling Layer

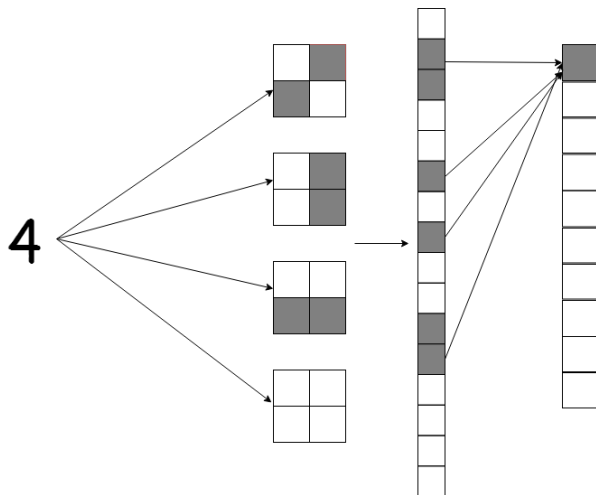
Sub-sample the feature map.



# Try to recognize digit 4



# Try to recognize digit 4



# What is the limitation of CNNs?

Why does CNNs poorly distinguish digit 4 from digit 1?

# Capsule Networks

- Model a part-whole relationship.
- Each capsule represents one unique feature.
- A group of low-level capsules represents "part" of the object.
- A high-level capsule represents an object.
- Model a hierarchical structure of visual features.



# Try to recognize a face using CNNs

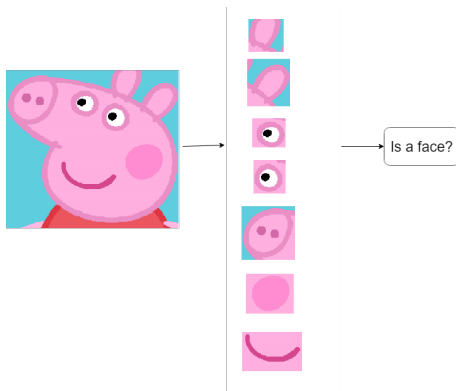


Figure: Detecting Peppa pig's face<sup>2</sup>

<sup>2</sup>Image source: <http://www.nickjr.com/peppa-pig/>

# Try to recognize a face using CNNs

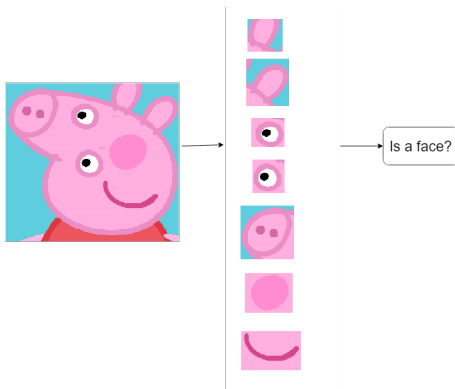
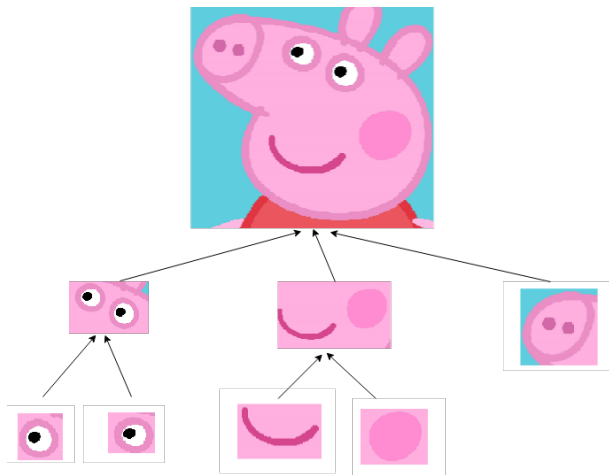
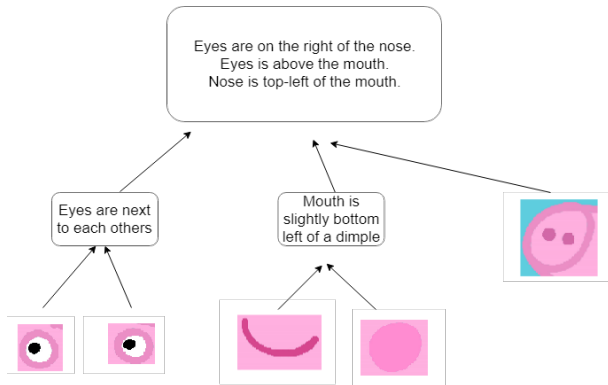


Figure: Detecting an incorrect Peppa pig's face

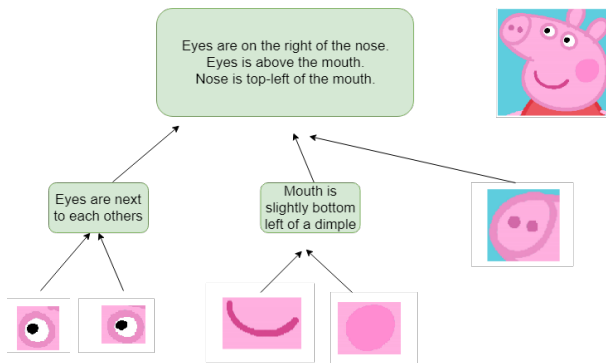
# Learn Part-Whole Relationship



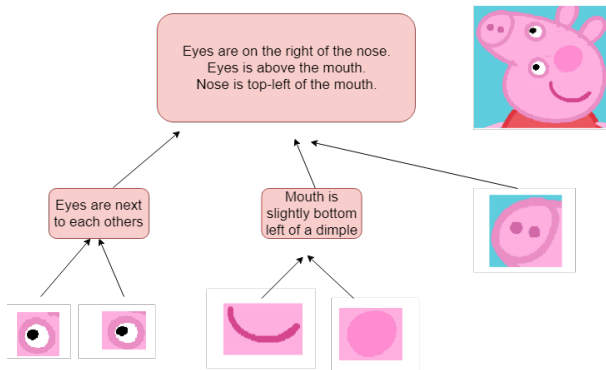
# Learn Part-Whole Relationship



# Learn Part-Whole Relationship



# Learn Part-Whole Relationship



# Capsule Approach

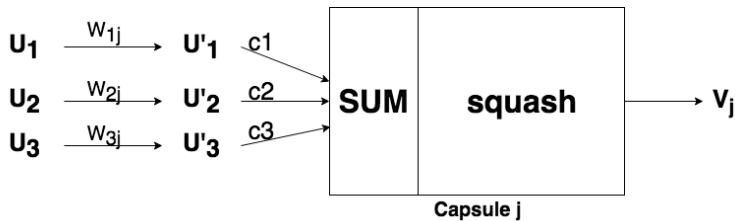
- Try to understand 3D space.
- Capsule encapsulates all important information about the state of the features they are detecting in a vector form.
- A vector length is a probability of detecting a feature.
- A vector direction is the state of the detected feature.

# Capsule Approach

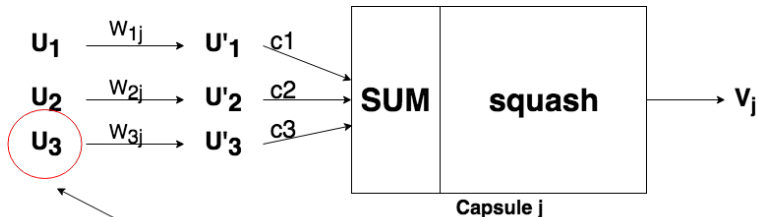
- Show a Capsule v.s. Traditional Neural.
- Draw a capsule layer.
- Draw a one-layer feedforward neural.



# Capsule

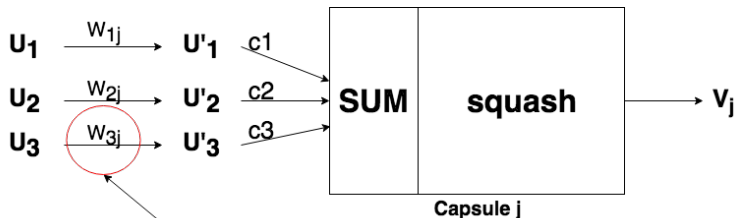


# Capsule



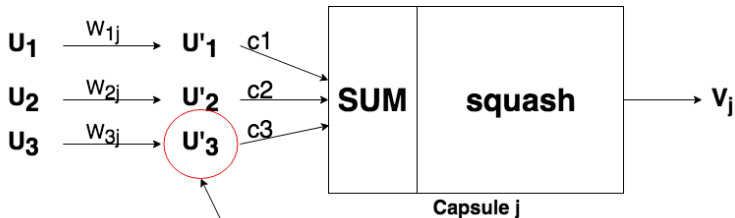
**a vector that  
encodes the state  
of the feature.**

# Capsule



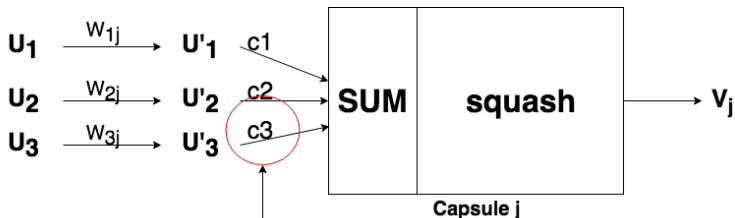
**a learnable weight matrix  
that encodes spatial  
relationship**

# Capsule



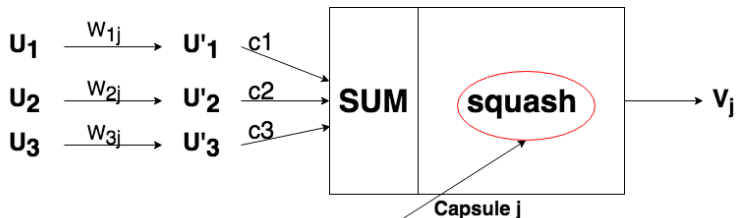
**a transformed state of  
the feature.**

# Capsule



**a non-negative scalar  
weight determined by  
routing algorithm.**

# Capsule

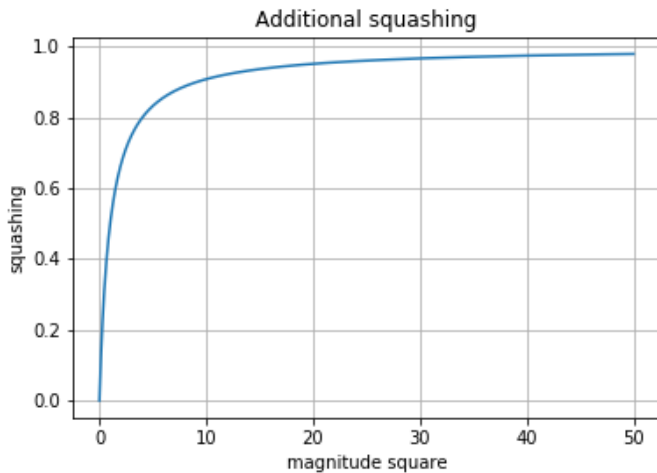


**a non-linear function that  
takes a vector as an input  
and outputs another vector.**

# Squash Function

$$\mathbf{v}_j = \frac{\|\mathbf{s}_j\|^2}{1 + \|\mathbf{s}_j\|^2} \frac{\mathbf{s}_j}{\|\mathbf{s}_j\|}$$

# Squash Function





# Dynamic Routing

- Each low-level capsule  $i$ , it has a weight  $c_{i,j}$  as a probability of its output belong to each high level capsule  $j$ .
- $\sum_j c_{i,j} = 1$
- $\forall i,j \quad c_{i,j} \geq 0$

# Dynamic Routing

- ① for all capsule  $i$  in layer  $l$  and capsule  $j$  in layer  $l + 1$ :  $b_{ij} = 0$
- ② for  $r$  iterations do:
  - ① for all capsule  $i$  in layer  $l$ :  $c_i = \text{softmax}(b_i)$
  - ② for all capsule  $j$  in layer  $l + 1$ :  $s_j = \sum_i c_{ij} \hat{u}_{j|i}$
  - ③ for all capsule  $j$  in layer  $l + 1$ :  $v_j = \text{squash}(s_j)$
  - ④ for all capsule  $i$  in layer  $l$  and capsule  $j$  in layer  $l + 1$ :  $b_{ij} = b_{ij} + \hat{u}_{j|i} \cdot v_j$
- ③ return  $v_j$

# Architecture for MNIST

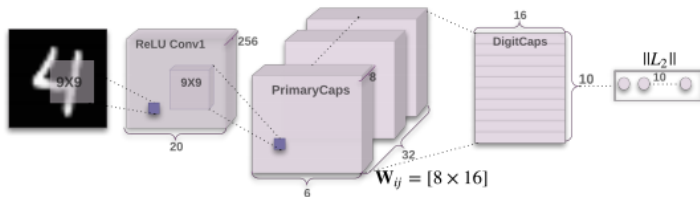


Figure: An encoder architecture<sup>3</sup>

<sup>3</sup>Image is taken from the [1]

# Architecture for MNIST

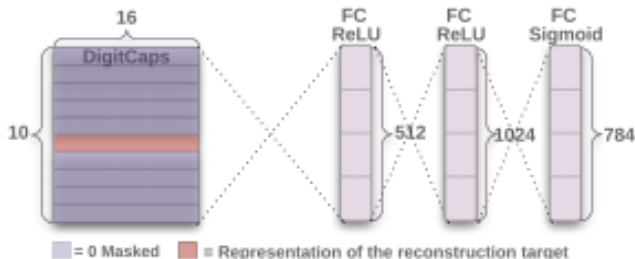


Figure: A decoder architecture<sup>4</sup>

<sup>4</sup>Image is taken from the [1]

# Loss function

$$L_c = T_c \max(0, m^+ - \|V_c\|)^2 + \lambda(1 - T_c) \max(0, \|V_c\| - m^-)^2$$

# References

- ① <https://medium.com/ai%C2%B3-theory-practice-business/understanding-hintons-capsule-networks-part-i-intuition-b>
- ② Dynamic Routing Between Capsules  
<https://arxiv.org/abs/1710.09829>

# Questions

Questions?  
schaidaroon@scu.edu